



Client Privacy Notice

Appendix 1

Our Purpose for Processing	Our Lawful basis	Special Condition for Special Category Data
To understand your requirements prior to entering into a contract of service with clients and customers.	The processing is necessary for the performance of an anticipated Contract .	
To understand all requirements to ensure that any financial service provided meets our client's or customers' needs.	The processing is necessary for the performance of a Contract .	
To fulfil our contract and provide clients and customers with the services agreed.	The processing is necessary for the performance of our Contract .	
To manage our business operations and comply with any internal policies and procedures.	It is in our legitimate interests to use your personal information to ensure that we provide and adapt our services.	
To notify you about changes to our service.	It is in our Legitimate Interests to use your personal information to keep you informed about any changes that may affect you.	
To process health and medical details to ensure that contracts and services are suitable for our clients' needs.	We need to ensure that contracts of services are suitable for the needs of our clients and customers.	We rely additionally on consent to process health and medical information.
To note potential vulnerabilities and ensure that our services and products meet the characteristics of our client / customer.	We need to ensure that contracts of services are suitable for the needs of our clients and customers.	We rely additionally on consent to process any details of vulnerability which are categorized as special category personal data.
To review and improve our products services and support by analysing complaints, feedback and vulnerable customer data.	It is in our legitimate interests to ensure that we constantly review our services and support to understand what improvements we can make for clients.	It is in the substantial public interest that we understand the different characteristics of clients and improve our services and deliver good customer outcomes.





To provide IFA's and other firms with information required to deliver services to you upon request.	We rely on your consent to transfer your information to another firm on request.	
For Electronic Marketing of similar services to existing clients or previous customers or enquirers.	It is in our legitimate interests to use your personal information for marketing purposes where the services being marketed are similar and relevant to you.	
For Electronic Marketing of services to new customers at incorporated companies via personal business email addresses.	It is in our Legitimate Interests to use personal business email addresses for marketing purposes where we can support individual's rights.	
For Electronic Marketing of services to new individual customers.	We rely on Consent for direct marketing to previously unknown individuals.	
To comply with our legal obligations, law enforcement, court, financial and tax requirements set by Government bodies and executive agencies.	Processing is necessary to comply with our Legal Obligations .	